

3731

RECEIVED

SEP 25 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jeurgen A. Kortenbach et al.

TECHNOLOGY CENTER R3700

SERIAL NO.: 10/010,912

GROUP ART UNIT: 3731

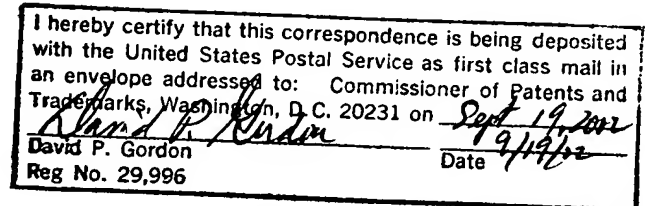
FILED: December 6, 2001

EXAMINER:

FOR: Surgical Device Having a  
Handle Adapted to Impart Tensile  
and Compressive Forces to Elements  
at a Distal End of the Device  
Deform a Clip

ATT'Y DOCKET: SYN-039D

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231



Sir:

SUBMITTAL OF DOCUMENTS PURSUANT TO DUTY OF DISCLOSURE

Pursuant to applicant's duty of disclosure 37 CFR Section 1.56, enclosed is a completed form PTOL-1449 as well as copies of the cited documents which relate to the above-referenced patent application. Since this document submittal is being presented prior to the first examination on the merits, no fee is due herewith.

The listed documents are brought to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to his/her examination. This listing should not be construed as representation that a search has been made or that no better art exists. No inference should be made that the documents are in fact material merely because they are referenced herein. Moreover, no representation is made that the brief descriptions of the references herein necessarily describe the most material aspects of the references. Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

Respectfully submitted,

David P. Gordon

Reg. #29,996

Attorney for Applicant(s)

Gordon & Jacobson, P.C.  
65 Woods End Road  
Stamford, CT 06905  
(203) 329-1160

10